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The Honorable Robert J. Bryan
Trial Date: August 19, 2019

IN THE UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON

AT TACOMA

DONALD VARNEY AND MARIA VARNEY, Case No. 3:18-cv-05105-RJB

12 Plaintiffs,

13 v.

AIR & LIQUID SYSTEMS CORPORATION,
ET AL.

14 Defendants.
15
16

MOTION FOR SUMMARY JUDGMENT OF
DEFENDANT JOHN CRANE INC.

ORAL ARGUMENT REQUESTED

NOTE ON MOTION CALENDAR:

MARCH 29, 2019

17 I. **RELIEF REQUESTED**
18

Pursuant to Fed. R. Civ P. 56, Defendant John Crane Inc. ("JCI") respectfully requests
this Court grant summary judgment in favor of JCI against Plaintiffs' Complaint. As set forth
below, there is no admissible evidence supporting a reasonable inference Plaintiff Donald
Varney worked with any asbestos-containing products attributable to JCI.

Decedent Donald Varney, through his wife Maria Varney, alleges he was diagnosed with
mesothelioma as a result of his exposure to asbestos products manufactured or sold by a large
number of different entities, including JCI. The only materials supporting Plaintiffs' claims

1 against JCI, however, are (1) an inadmissible hearsay Declaration of the Decedent Donald
 2 Varney and (2) a report by Plaintiffs' causation expert Dr. John C. Maddox, which relies solely
 3 on Mr. Varney's hearsay declaration for his opinions with respect to JCI. Mr. Varney's hearsay
 4 declaration lacks any independent indicia of reliability. In fact, the undisputed evidence from
 5 Mr. Varney's own treating physician shows that on the date that Mr. Varney signed the
 6 declaration, as well as several days prior, he was non-responsive to stimuli and incapable of
 7 engaging in conversation. Further, Mr. Varney's wife testified that she had never heard Mr.
 8 Varney talk about work with "gaskets" or "packing" products prior to his death. In short, the
 9 declaration is inadmissible hearsay, bearing no independent indicia of reliability, and which does
 10 not fall within any recognized exception to the rule against hearsay.

12 In the alternative, dismissal as a matter of law is still warranted because Mr. Varney's
 13 declaration does not support a reasonable inference that any JCI products Mr. Varney allegedly
 14 worked with either contained asbestos or were worked upon with sufficient frequency to
 15 constitute a "substantial factor" to Mr. Varney's claimed injury.

17 **II. STATEMENT OF FACTS**

18 JCI provides the below statement of facts solely for the purpose of providing the Court a
 19 complete outline of the events thus far in anticipation of Plaintiffs' response. The inclusion of
 20 certain evidence in the section below is in no way an adoption by JCI as to the veracity and/or
 21 admissibility of such evidence. JCI specifically reserves the right to challenge the admissibility
 22 and/or veracity of such evidence when the appropriate time arises.

1 **A. Procedural History**

2 On February 2, 2018, Plaintiffs Donald and Maria Varney filed suit against 45
 3 Defendants, including JCI, in Pierce County Superior Court alleging personal injury for Donald
 4 Varney and loss of consortium for Maria Varney resulting from asbestos related injuries to
 5 Donald Varney due to exposure from products manufactured, produced, distributed, supplied or
 6 otherwise placed into the stream of commerce by the Defendants.¹ On or about February 12,
 7 2018, Defendant General Electric Company removed this matter to the United States District
 8 Court for the Western District of Washington on jurisdictional grounds.²

9 **B. Nature of Plaintiffs' Claims**

10 Plaintiff Donald Varney alleges he was diagnosed with mesothelioma as a result of his
 11 exposure to asbestos and asbestos containing products from 1939 through 1972.³ More
 12 specifically, he alleges such exposure occurred (1) during his time as a marine machinist at Puget
 13 Sound Naval Shipyard and Hunters Point Naval Shipyard from 1957 to 1972, (2) through
 14 personal automotive exposure from 1939 to 1957 and (3) through his father who was an auto
 15 mechanic from the 1940's to 1950's.⁴ Before his deposition could take place, Plaintiff Donald
 16 Varney passed away on February 8, 2018.⁵

23 ¹ See *Complaint for Personal Injuries*, attached as Exhibit 1 to Declaration of Daira Waldenberg, at Paragraphs III,
 24 V.

25 ² See Declaration of Daira Waldenberg.

26 ³ See *Complaint* at Paragraph III.

27 ⁴ *Id.*

28 ⁵ See Declaration of Daira Waldenberg and *Death Certificate of Donald Varney*, attached as Exhibit 2.

1 **C. Inadmissible Evidence Produced by Plaintiffs**

2 Plaintiffs have produced two documents in discovery allegedly supporting their claims
 3 against JCI: (1) Declaration of Donald Varney and (2) Report of John C. Maddox, M.D. Both of
 4 these documents are inadmissible against JCI according to the Federal Rules of Evidence.

5 1. Declaration of Donald Varney

6 On or about June 26, 2018, Plaintiffs' counsel produced a document entitled "Declaration
 7 of Donald Varney" (herein referred to as "Declaration").⁶ The Declaration, presumably signed
 8 by Donald Varney on February 7, 2018, goes on to provide generalized testimonial evidence of
 9 his alleged exposure to asbestos as it relates to the named Defendants of the subject lawsuit. The
 10 first paragraph of the Declaration reads as follows:

11 I have personal knowledge of the facts and circumstances set forth below. I make
 12 these statements regarding the cause and circumstances of my death under a sense
 13 of impending death from mesothelioma. I have no hope or expectation of
 14 recovery from this terminal disease.⁷

15 In addition, the Declaration states he worked as a marine machinist from 1957 to 1972 at
 16 two different shipyards and during those times he "breathed dust from the removal and
 17 replacement of asbestos-containing gaskets, packing, and insulation associated with the
 18 following machinery..."⁸ The Declaration then continues to list a variety of machinery allegedly
 19 manufactured by other Defendants and goes on to state, "gaskets and packing we used were
 20 Granite, John Crane, and the original manufacturers of the equipment."⁹ This is the only time
 21 JCI is mentioned in the entire Declaration. The Declaration provides broad testimonial evidence
 22

24 ⁶ See Declaration of Daira Waldenberg.

25 ⁷ See *Declaration of Donald Varney* at Paragraph 1, attached as Exhibit 3 to Declaration of Daira Waldenberg.

26 ⁸ *Id.* at Paragraphs 3-4.

⁹ *Id.* at Paragraph 4.

1 of Mr. Varney's alleged exposure, but fails to provide the foundational information required to
 2 show his disease was caused by exposure to asbestos containing products as it relates to JCI or
 3 any other Defendant for that matter.

4 2. Report of John C. Maddox

5 On or about December 26, 2018, Plaintiff's counsel produced a report from John C.
 6 Maddox, M.D.¹⁰ Dr. Maddox concluded Mr. Varney's mesothelioma was "caused by the
 7 cumulative effect of all of the asbestos exposures he received as a marine mechanic installing,
 8 removing, and manipulating asbestos-containing gaskets, packing, and thermal insulation."¹¹ In
 9 creating his report and coming to his conclusion, Dr. Maddox reviewed four items: (1) medical
 10 records of Donald Varney, (2) pathology materials of Donald Varney, (3) the Declaration (of
 11 Donald Varney) and (4) illustrations of mesothelioma, lung cancer, and asbestosis.¹² Outside of
 12 the Declaration, there is no indication Dr. Maddox relied on any other evidence regarding Mr.
 13 Varney's alleged exposure to asbestos from JCI products.

14 **D. Deposition Testimony**

15 1. Deposition of John R. Kercheval, D.O.

16 The deposition of John R. Kercheval, D.O. took place on December 5, 2018.¹³ He was
 17 the primary care physician in charge of Donald Varney from the time of his admission into
 18 Abrazo West Campus Hospital on January 26, 2018 up until his passing in the same hospital on
 19
 20
 21
 22
 23

24 ¹⁰ See Declaration of Daira Waldenberg.

25 ¹¹ See *Dr. Maddox's Report* at Page 65, attached as Exhibit 4 to Declaration of Daira Waldenberg.

26 ¹² *Id.* at Page 21.

27 ¹³ See *Deposition Transcript of Dr. Kercheval* at Page 1, attached as Exhibit 5 to Declaration of Daira Waldenberg.

1 February 8, 2018.¹⁴ When Mr. Varney was initially admitted, the plan was to stabilize and
2 discharge him within three to four days.¹⁵ After more than four days had passed from Mr.
3 Varney's admission, Dr. Kercheval still believed he could be discharged and that death was not
4 imminent for Mr. Varney.¹⁶
5

6 Q: At this point, on February 4th, was the goal, the plan still to get him to a state
7 where he could be discharged from the hospital to another - - another place?

8 A: That is always the - - the goal. I had not - - from my note, I had not believed
9 that his death was imminent, right then, which was the reason for getting
10 palliative care. So we discussed palliative because they're able to do much more
11 with pain control as well as ease the symptoms associated with terminal care.

12 Dr. Kercheval went on to describe Mr. Varney's inability to cognitively contribute to
13 conversations on the following day, February 5, 2018.¹⁷
14

15 Q: Okay. And what was Mr. Varney's condition on the 5th?

16 A: So initially, I have that he was having significantly increased fatigue and
17 debility. In my plan of care, I note that he had significantly declined since
18 admission. And I wrote that he had a very poor prognosis. I had a discussion
19 with the family at that time, and I made note that Mr. Varney was really unable to
20 contribute anything to the discussion due to his condition.

21 ...
22 Q: Okay. You also mention that Mr. Varney was unable to contribute to the
23 discussion about - - about his care. Is that right?

24 ...
25 A: That's a yes.

26 Q: Okay. And what was it about his condition that led you to believe that?

Q: He was - - he was more somnolent at that time and was weaker as far as
following conversation.

...
Q: Okay. When you say he's unable to contribute to the discussion of his
condition, was this a discussion that you had with the family outside of his
presence or was it with his presence but just, he wasn't cognitively able to
understand and contribute?

14 *Id.* at Pages 11:1-4 and 14:13-16.

15 *Id.* at Pages 19:20-20:7.

16 *Id.* at Pages 39:25-40:8.

17 *Id.* at Pages 41:5-41:15, 42:10-19, and 43:8-18.

1 **A:** I believe from my note, it was that I was in the room seeing the patient at the
2 time with family members present.

3 **Q:** He just wasn't cognitively able to contribute to that discussion?

4 **A:** Yes.

5 By the following day, February 6, 2018, Dr. Kercheval believed Mr. Varney would pass
6 within three to five days based on his continued declining condition which included the inability
7 to follow directions and reduced responsiveness to conversation.¹⁸ Dr. Kercheval then went on
8 to describe Mr. Varney's condition the morning the Declaration was signed, February 7, 2018.¹⁹

9 **Q:** What was Mr. Varney's condition on the 7th?

10 **A:** He had actually - - at that point, I make a note that he is - - was essentially
11 obtunded. In other words, he was not responding to any verbal stimuli.

12 ...

13 **Q:** What time of the day was it that you saw him?

14 **A:** It looks like I actually saw him at 11:30.

15 ...

16 **Q:** When you say that he's being nonresponsive, what - - what, in your mind, does
17 that mean in terms of his - - of his condition?

18 **A:** So at that point, for this patient, my feeling would be that he had - - was
19 deteriorating to the point where he wasn't able to respond any longer. It was not
related to a treatment that was being done or he wasn't sedated for any particular
thing. So that was not the reason for it.

20 **Q:** When you say he's being nonresponsive, does that mean he doesn't recognize
21 people who are in the room?

22 **A:** Typically, I would say that if I'm saying he's nonresponsive, that would be me
23 going in to evaluate him and, as part of doing the physical, calling his name,
24 getting him to respond, whether that be opening his eyes or answer or grasp my
25 hand, any one of those.

26 **Q:** He wouldn't have been able to do those?

27 **A:** From my note, I would say no.

28 ¹⁸ *Id.* at Pages 47:24-48:9.

29 ¹⁹ *Id.* at Pages 49:6-9 and 50:19-51:11.

1 2. Deposition of Maria Varney

2 a. *Testimony Regarding Mr. Varney's Work History*

3 On February 6, 2019, the deposition of Plaintiff Maria Varney took place.²⁰ She and Mr.
4 Varney had been married since 1990.²¹ When questioned about Mr. Varney's work on the Navy
5 shipyards, she was unable to identify, even to a remote degree of certainty, the type of work Mr.
6 Varney was involved in.²²

7 Q: Do you know any locations specifically where he worked on Navy ships?

8 A: No.

9 Q: Do you know specifically what years he would have worked on Navy Ships?

10 A: No. He just told me when he was 18 or 19, when he was young and started
11 working, but no.

12 Q: Do you know how long he worked on Navy ships when he was young?

13 A: No.

14 Q: Do you know the - - any of the manufacturers, or distributors, or suppliers of
15 any of the equipment he worked with or around while he worked on ships in the
16 Navy?

17 A: No.

18 Upon further questioning, she was unable to identify or provide any documentation or
19 information related to Mr. Varney's work during his time in the Navy.²³

20 Q: Do you have any documents, employment papers or any other written documents that
21 would pertain to Mr. Varney's employment and work on Navy ships?

22 A: No. Documents, I don't have any.

23 Q: Okay.

24 A: No, I don't.

25 Q: Do you know anybody that has information or knowledge about Mr. Varney's work
26 on Navy ships?

27 A: No.

28

²⁰ See *Deposition Transcript of Maria Varney* at Page 1, attached as Exhibit 6 to Declaration of Daira Waldenberg.

29 ²¹ *Id.* at Page 9:4-6.

30 ²² *Id.* at Pages 32:22-33:10.

31 ²³ *Id.* at Page 35:1-14.

1 She was also unable to remember or confirm a time when Mr. Varney ever mentioned the
2 words insulation, gasket, or packing.²⁴

3 **Q:** Did you ever hear him say the word "insulation"?

4 **A:** Insulation? No.

5 ...

6 **Q:** Did you ever hear him say the word "gasket"?

7 **A:** Gasket?

8 **Q:** Gasket.

9 **A:** No, I don't remember.

10 **Q:** Did you ever hear him say the word "packing"?

11 **A:** I don't remember. No, I don't remember.

12 b. *Testimony Regarding Circumstances Surrounding the Signing of the*
13 *Declaration*

14 According to Mrs. Varney, there were five people present when Mr. Varney signed the
15 Declaration: herself, Mr. Varney, a priest, Ben Adams (Mr. Varney's attorney) and a notary.²⁵
16 She went on to describe the circumstances at time of the signing.²⁶

17 **Q:** Ms. Varney, we were talking about Exhibit 2, the [D]eclaration. What I'm
18 trying to find out is whether you have any knowledge about the process of how
19 this document was created.

20 **A:** No.

21 **Q:** You indicated that you were present when your husband signed this document,
22 correct?

23 **A:** Yes. When he signed this document there was a priest, there was a notary, my
24 husband was awake, he was awake. At that moment he was sitting, he wanted to
25 sit down, he sat down, and I was surprised he wanted to sit down, and he sat
26 down. And he looked at me and he was awake. He was awake. He signed with
27 his hand. They put the paper, he signed well. He was awake and he signed, and
28 he signed. He signed.

29 **Q:** Do you recall what time of day it was on February 7th when you saw your
30 husband sign this document?

31 **A:** It was in the morning. I don't remember the time, but it was before noon or at
32 noon.

24 *Id.* at Pages 88:22-89:6.

25 *Id.* at Pages 60:10-61:1.

26 *Id.* at Pages 67:16-68:5 and 68:20-69:10.

She went on to describe that no conversations were had during the signing of the Declaration.²⁷

Q: Was there any conversation that happened during this time when the priest and the notary were present in the hospital room when your husband signed this declaration?

A: No, everyone was quiet. The priest was to his side, the notary was in front watching. I was next to my husband, the lawyer was here, we were just looking at him. I was the one that was next to him, but we were just there watching. The notary was watching everything. But no one talked. He just looked at me when they gave him the paper and he held the paper and then he signed. He signed and he laid backwards.

Q: Who handed Exhibit 2 to your husband to sign?

A: The notary was there. It was the attorney, but the notary was in front. The notary approached and saw, and then they moved to the side.

Q: Did the notary talk at all when he was there in the hospital room?

A: No. The notary was just watching everything. Watching. He had his book.

Q: Did the notary ask - -

A: He didn't talk to me. He got there said hi, and he was watching everything.

Q: Did the notary ask your husband any questions?

A: No.

She further testified the last time she spoke with Mr. Varney was three or four days before his passing.²⁸

Q: Ms. Varney, do you remember the last thing that your husband said?

A: Well, when we talked I was telling him that I loved him. The last thing he said to me was, "Gloria, I love you." That was it. And then after that we didn't talk. He said that to me.

Q: Do you remember what day that he said that he loved you?

A: Before he died, about three days I think. Three or four days before, yes.

III. EVIDENCE RELIED UPON

1. Declaration of Daira Waldenberg with exhibits attached thereto.
 2. Records, pleadings, and documents on file,

²⁷ *Id.* at Pages 74:22-75:20.

²⁸ *Id.* at Pages 85:5-14.

1 **IV. ISSUES PRESENTED**

2 1. Should summary judgment be granted when Plaintiffs have failed to put forth any
 3 admissible evidence Mr. Varney's claimed asbestos related injuries were caused by the actions or
 4 products of JCI.

5 2. Should summary judgment be granted when the evidence put forth by Plaintiffs, if
 6 deemed admissible by the Court, is insufficient to support any claim or theory of liability against
 7 JCI.

8 **V. AUTHORITY AND ARGUMENT**

9 Summary judgment is proper when the pleadings, depositions, answers to interrogatories
 10 and admissions on file, together with any affidavits or declarations, demonstrate that there is no
 11 genuine issue as to any material fact. CR 56(c); *Central Washington Bank v. Mendeson-Zeller*,
 12 113 Wn.2d 346, 351, 770 P.2d 697 (1989); *Cameron v. Downs*, 32 Wn. App. 875, 877, 650 P.2d
 13 260 (1982). A material fact is one that affects the outcome of the litigation. *Ruff v. County of*
 14 *King*, 125 Wn.2d 697, 703, 887 P.2d 886 (1995). When reasonable minds could reach but one
 15 conclusion, questions of fact may be determined as a matter of law. *Id.* at 704, citing *Hartley v.*
 16 *State*, 103 Wn.2d 768, 774, 698 P.2d 77 (1985).

17 A party cannot rely solely on the allegations in his or her pleadings, on speculation, or on
 18 argumentative assertions that unresolved factual issues remain. *White v. State*, 131 Wn.2d 1, 9,
 19 929 P.2d 396 (1997); *Baldwin v. Sisters of Providence in Wash., Inc.*, 112 Wn.2d 127, 132, 769
 20 P.2d 298 (1989). After the moving party submits adequate affidavits, the nonmoving party must
 21 set forth specific facts which sufficiently rebut the moving party's contentions and disclose the
 22 existence of a genuine issue as to a material fact. *Meyer v. University of Wash.*, 105 Wn.2d 847,
 23 852, 719 P.2d 98 (1986). When reasonable minds could reach but one conclusion from the
 24 admissible facts in evidence, summary judgment should be granted. *LaMon v. Butler*, 112 Wn.2d
 25 193, 199, 770 P.2d 1027, *cert denied*, 493 U.S. 814 (1989).

In responding to a summary judgment motion, the nonmoving party has the burden to prove the essential elements of their case as they would at trial. *Young v. Key Pharmaceuticals*, 112 Wn.2d 216, 225, 770 P.2d 182 (1989). If the nonmoving party fails to make such a showing, summary judgment is appropriate. *Id.* The court should apply the same substantive standard of proof as will be applicable at trial. *Baldwin v. Sisters of Providence*, 112 Wn.2d 127, 132, 769 P.2d 298 (1989). Mere allegations, conclusory assertions and assumptions are insufficient to defeat a summary judgment motion. *Id.* A court may not consider inadmissible evidence when ruling on a motion for summary judgment. *Allen v. Asbestos Corp. Ltd*, 138 Wn. App. 564, 570, 157 P.3d 406 (2007), citing *Int'l Ultimate, Inc. v. St. Paul Fire & Marine Ins. CO.*, 122 Wn. App. 736, 744, 87 P.3d 774 (2004).

A. Plaintiffs Have Failed to Produce Any Admissible Evidence in Support of Their Claims Against JCI

Plaintiffs have produced only two documents in discovery they believe link JCI to Plaintiffs' claims: (A) the Declaration (of Donald Varney) and (B) Report of John C. Maddox. Neither document is admissible evidence. Therefore, JCI's Motion for Summary Judgment must be granted.

1. The Declaration is Inadmissible Hearsay

Defendant anticipates Plaintiffs will argue the Declaration is admissible as an exception to the hearsay rule under FRE 804(b)(2) (as a "dying declaration") or FRE 807 (the residual exception).

a. *The Declaration is Inadmissible under FRE 804(b)(2)*

FRE 804(b)(2) states the following is not excluded by the rule against hearsay if the declarant is unavailable as a witness:

1 **Statement Under the Belief of Imminent Death.** In a prosecution for homicide
 2 or in a civil case, a statement that the declarant, while believing the declarant's
 3 death to be imminent, made about its cause or circumstances.

4 This hearsay exception applies only if the declarant believed, when making the statement in
 5 question, that his death was "imminent." FRE 804(b)(2). The question of whether a declaration
 6 was made under belief of imminent death is a preliminary fact question for the judge. FRE 104.

7 The time between the declaration and subsequent death is a pertinent factor in
 8 determining what the declarant likely believed. The United States Supreme Court has further
 9 emphasized that the declarant must have made the declaration while believing death would occur
 10 almost immediately and not just as the ultimate result of an illness. In *Shepard v. United States*,
 11 the Supreme Court explained the parameters of the dying declaration exception to the hearsay
 12 rule:

13 To make out a dying declaration, the declarant must have spoken without
 14 hope of recovery and in the shadow of impending death.... Fear or even
 15 belief that illness will end in death will not avail of itself to make a dying
 16 declaration. There must be a settled hopeless expectation that death is
 17 near at hand, and what is said must have been spoken in the hush of its
 18 impending presence. Despair of recovery may indeed be gathered from
 19 the circumstances if the facts support the inference. There is no unyielding
 20 ritual of words to be spoken by the dying. Despair may even be gathered,
 though the period of survival outruns the bounds of expectation. What is
 decisive is the state of mind. Even so, the state of mind must be exhibited
 in the evidence, and not left to conjecture. The patient must have spoken
 with the consciousness of a swift and certain doom.

21 290 U.S. 96, 99-100, 54 S.Ct. 22 (1933). Courts have ruled that statements by declarants with a
 22 terminal illness are not dying declarations unless the declarants believed that imminent death was
 23 at hand. *Sternhagen v. Dow Co.*, 108 F.Supp.2d 1113, 1117-18 (D. Mont. 1999).

24 First, in the present case, the Declaration is not a "statement" as required by the rule. It is
 25 a prepared, typed up document created in anticipation of litigation and signed by the declarant.
 26

1 This is clearly evident by the first paragraph of the Declaration, which in essence is a
 2 regurgitation of this hearsay exception rule. While the exact circumstances of the who, when,
 3 and where the Declaration was created remains uncertain, it is clear, on its face, the language and
 4 form of the Declaration reflects individual(s) with legal experience and knowledge drafted the
 5 document for purposes of litigation.
 6

7 Second, even if this Declaration is considered to be a "statement," there is no evidence to
 8 show the "statement" was made was under the belief death was "imminent." Ironically, the
 9 inclusion of the first paragraph in the Declaration would support the notion death was not
 10 imminent as no person would waste their presumable final breaths to regurgitate the words of the
 11 evidence rules in hopes of preserving such words for future litigation. In addition, Mrs. Varney
 12 testified the last time she heard her husband speak was three or four days prior to his death. On
 13 February 4, 2018, four days before Mr. Varney's passing, Dr. Kercheval testified he did not
 14 believe Mr. Varney's death was imminent at that point. Dr. Kercheval further testified
 15 Mr. Varney was unable to cognitively contribute to any discussions beginning February 5, 2018.
 16 Per Mrs. Varney's testimony, Mr. Varney, nor anyone else in the room for that matter, said a
 17 single word at the time of the signing of the Declaration. All this goes to show that even if the
 18 Court was to believe the contents of the Declaration were the statements of Mr. Varney, at best,
 19 Mr. Varney would have spoken such words three to four days prior to his actual death at a time
 20 when his death was clearly not imminent.
 21
 22

23 Based on the foregoing, the Declaration must be deemed inadmissible and without such
 24 evidence, Plaintiffs' claims against JCI cannot survive summary judgment.
 25
 26

1 ***b. The Declaration is Inadmissible under FRE 807***

2 FRE 807 allows for the admissibility of an otherwise hearsay statement if (1) the
 3 statement has equivalent circumstantial guarantees of trustworthiness; (2) it is offered as
 4 evidence of a material fact; (3) it is more probative on the point for which it is offered than any
 5 other evidence that the proponent can obtain through reasonable efforts; and (4) admitting it will
 6 best serve the purposes of these rules and the interests of justice. FRE 807(a). The Court in
 7 *Sternhagen* stated, "The most important requirement of the residual exception is that the hearsay
 8 evidence have 'circumstantial guarantees of trustworthiness' that are 'equivalent' to those of the
 9 exceptions enumerated in FRE 803 and 804." 108 F.Supp.2d at 1119 citing *Fong v. American*
 10 *Airlines, Inc.* 626 F.2d 759, 763 (9th Cir. 1980). The Court then went on to outline a number of
 11 factors to consider in evaluating hearsay evidence's trustworthiness such as (1) whether the
 12 declarant was under oath; (2) made the statement voluntarily; (3) based the statement on personal
 13 knowledge; (4) contradicted any previous statement; (5) had the statement preserved on
 14 videotape to afford the jury an opportunity to evaluate declarant's demeanor; (6) availability of
 15 the declarant to be cross-examined; (7) statement's proximity in time to the events it describes;
 16 (8) whether the statement has been corroborated; (9) motivation to fabricate; (10) whether the
 17 statement was prepared in anticipation of litigation; (11) the statement's spontaneity; (12) and
 18 whether the declarant's perception or memory was faulty. *Id.*

22 In applying these factors to the present case, the Declaration fails to have the
 23 "circumstantial guarantees of trustworthiness" as required by this rule. At the forefront is Mr.
 24 Varney's mental and cognitive ability to perceive the Declaration he signed. Mrs. Varney
 25 testified the Declaration was signed on the morning of February 7, 2018 around noon or

1 sometime before. On the same day, at 11:30 a.m., Dr. Kercheval examined Mr. Varney and
 2 described him to be "obtunded" and "non-responsive." In other words, he could not respond to
 3 any verbal stimuli or to the most basic of commands. Given his mental and physical state at the
 4 time he signed the Declaration, Mr. Varney's perception and memory regarding events from over
 5 50 years ago are called into serious question.
 6

7 In addition, the Declaration was clearly a document prepared in anticipation of litigation.
 8 There were no words spoken during the time Mr. Varney was signing the document. There was
 9 no verification, even from the notary, as to Mr. Varney's mental state or ability to even
 10 comprehend what it was he was signing. The Plaintiff has also failed to provide any other
 11 evidence to corroborate the contents of the Declaration; even Mrs. Varney was unable to produce
 12 any information or identify persons with information as it related to Mr. Varney's claims.
 13 Overall, when the factors as listed by the *Sternhagen* Court are applied to the facts surrounding
 14 the Declaration in this case, it is clear the Declaration does not meet the requirements to be
 15 admissible under FRE 807.
 16

17 2. The Report of John C. Maddox Cannot be Used to Circumvent the Inadmissibility of
 18 the Declaration.

19 Defendant anticipates Plaintiffs will argue Dr. Maddox's report is evidence sufficient
 20 to survive this summary judgment motion under FRE 703. FRE 703 reads in relevant part:
 21

22 An expert may base an opinion on facts or data in the case that the expert has
 23 been made aware of or personally observed. If experts in the particular field
 24 would reasonably rely on those kinds of facts or data in forming an opinion on the
 25 subject, they need not be admissible for the opinion to be admitted. But if the
 26 facts or data would otherwise be inadmissible, the proponent of the opinion may
 disclose them to the jury only if their probative value in helping the jury evaluate
 the opinion substantially outweighs the prejudicial effect.

1 Although FRE 703 allows experts to base their opinion testimony on facts or data that is not
 2 admissible in evidence, the otherwise inadmissible underlying facts or data is not substantive
 3 evidence. *Allen*, 138 Wn. App. at 579 citing *In re Det. Of Marshall* at 122 Wn. App. 132, 146,
 4 90 P.3d 1081 (2004), *aff'd*, 156 Wn.2d 150, 125 P.3d 111 (2005). A trial court may allow the
 5 admission of otherwise hearsay evidence and inadmissible facts for the purpose of showing the
 6 basis of the expert's opinion, but the admission of such facts is not proof of them. *Id.* This was
 7 further cemented by the 9th Circuit Court of Appeals in *Turner v. Burlington Northern Santa Fe.*
 8 R. Co.

9 338 F.3d 1058, 1060 (9th Cir. 2003).

10 The Plaintiffs in *Turner* brought suit against Burlington Northern Santa Fe. R. Co.
 11 (BNSF) for damages allegedly caused by a BNSF railroad car fire. *Id.* An expert hired by BNSF
 12 took a sample of the debris pile and sent it to a lab for analysis, which came back showing a
 13 mixture consistent with gasoline. *Id.* The trial court excluded the expert's testimony because the
 14 expert relied on the lab report as substantive evidence the fire was started by gasoline. *Id.* at
 15 1060-1061. On appeal, the 9th Circuit Court stated, "the presumptive evidence that otherwise is
 16 inadmissible will be kept out unless the court determines that any potential prejudice is
 17 substantially outweighed by the probative value." *Id.* at 1062. The Court went on to point out
 18 the lab report was the only evidence of gasoline in the soil and so the expert's reliance on the lab
 19 report was not used as data upon which experts in his field reasonably relied upon, but that it was
 20 used as substantive evidence in supporting his conclusion the fire was intentionally created. *Id.*
 21 As such, the Court upheld the trial court's ruling in excluding the expert's testimony as the
 22 prejudice that would result from admission would be substantial whereas the probative value
 23 would be minimal. *Id.*

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1 The Declaration of Mr. Varney is analogous to the lab report in *Turner* as it is the only
 2 piece of evidence which allegedly links JCI to the Plaintiffs' claims. Just like the expert's
 3 reliance on the lab report in *Turner*, Dr. Maddox's reliance on the Declaration is not facts or data
 4 in which experts in Dr. Maddox's field would reasonably rely upon, but instead, it was used as
 5 substantive evidence in support of his ultimate conclusion. As such, the prejudice to JCI that
 6 would arise from the admission of this report would be substantial whereas the probative value
 7 would be minimal. Therefore, Dr. Maddox's report must be deemed inadmissible.

9 **B. Even if the Court Finds the Declaration Admissible, it is Insufficient Evidence for a
 10 Reasonable Jury to Conclude JCI Caused Mr. Varney's Alleged Disease.**

11 In asbestos cases, causation may be established through direct or circumstantial evidence.
 12 *Allen*, 138 Wn. App. at 571. The Washington Supreme Court, in *Lockwood v. AC & S, Inc.*, 109
 13 Wn.2d 235, 744 P.2d 605 (1987) established a multi-factor test to examine whether sufficient
 14 evidence of causation exists in asbestos litigation. This test includes looking at the Plaintiff's
 15 proximity to the asbestos product when the exposure occurred; the extent of time Plaintiff was
 16 exposed to the product; and expert testimony on the effects of inhalation of asbestos on human
 17 health in general and the Plaintiff in particular. *Id.* at 248-49; 16 DeWolf, Washington Practice
 18 §5:12 (4th ed. 2016).

20 Under *Lockwood*, a Plaintiff must establish that "the Defendant's product was among
 21 other sources of exposure in the Plaintiff's environment that cumulatively caused the disease."
 22 *Headley v. Ferro Corp.*, 630 F.Supp.2d 1261, 1268 (W.D. Wash. 2008), citing *Lockwood*, 109
 23 Wn.2d at 245-47. However, "the sufficiency of the evidence of causation will depend on the
 24 unique circumstances of each case." *Lockwood*, 109 Wn.2d at 249. Here, Plaintiffs fail to
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1 present sufficient evidence that JCI's products released any asbestos fibers, let alone a sufficient
 2 amount to "cumulatively cause" Mr. Varney's disease.

3 Proximate cause is comprised of cause in fact and legal causation. 16 DeWolf,
 4 Washington Practice §5:1 (4th ed. 2016). In the context of asbestos litigation, cause in fact is
 5 proven by the "substantial factor" test, such that if there are multiple causes combined to produce
 6 a single result, and each is a substantial factor in bringing about that harm and each is charged
 7 with responsibility for the harm. *Lockwood*, 109 Wn.2d at 245. Legal causation focuses on legal
 8 responsibility; the question is "whether, as a matter of policy, the connection between the
 9 ultimate result and the act of the Defendant is too remote or insubstantial to impose liability."
 10 *Schooley v. Pinch's Deli Market, Inc.*, 134 Wn.2d 468, 478-79, 951 P.2d 749 (1998). Both cause
 11 in fact and legal causation must be proven to sustain a cause of action. 16 DeWolf, Washington
 12 Practice §5:1 (4th ed. 2016).

13 In the present case, the Plaintiffs have failed to put forth sufficient evidence for a
 14 reasonable jury to conclude Mr. Varney's alleged mesothelioma was caused by the actions or
 15 products of JCI. The Declaration of Mr. Varney is a document created for the purposes of
 16 litigation, which makes the most generic of allegations against all Defendants in the subject
 17 lawsuit. The Declaration merely mentions John Crane once in that the "gaskets and packing we
 18 used were Cranite, John Crane, and the original manufacturers of the equipment."

19 There is no foundation for the characterization of JCI gaskets and packing as "asbestos
 20 containing." There is no information on the extent of time Plaintiff was exposed to any JCI
 21 products, no information on specific JCI products the Plaintiff was allegedly exposed to, no
 22 information on the Plaintiff's proximity to JCI products and no information on specifically when
 23

1 the exposure to JCI products occurred. In addition, the decedent's surviving spouse, plaintiff
 2 Maria Varney, was unable to provide any information regarding the claims against JCI.

3 The only other evidence put forth by Plaintiffs is the report by Dr. Maddox. However, it
 4 is clear the only piece of evidence he relied on in terms of alleged facts to support the Plaintiffs'
 5 claims was Mr. Varney's Declaration. Therefore, his conclusions, for purposes of this motion,
 6 carry no value as he relied on no additional information with regards to Mr. Varney's alleged
 7 asbestos exposure from JCI products other than what was in the Declaration.

8 The entirety of Plaintiffs' case, in essence, relies on the February 7, 2018 Declaration of
 9 Mr. Varney. However, the Declaration, at its core, is a document generated for purposes of
 10 litigation which contains a generic allegation against a number of Defendants, one of whom who
 11 happens to be JCI. Even if the Court decided the Declaration and Dr. Maddox's report are
 12 admissible, they do not support a reasonable inference that the JCI products Mr. Varney
 13 supposedly worked with contained asbestos or that he worked with them with sufficient
 14 frequency to rise to the level of "substantial factor." Ultimately, there is insufficient evidence for
 15 a reasonable jury to conclude JCI's actions or product caused Mr. Varney's alleged exposure to
 16 asbestos and mesothelioma. Therefore, Plaintiffs' claims against JCI must be dismissed as a
 17 matter of law.

21 **VI. CONCLUSION**

22 For the foregoing reasons, Plaintiffs' claims against JCI must be dismissed as a matter of
 23 law. JCI respectfully requests the Court grant its motion for summary judgment and that all
 24 claims against it be dismissed with prejudice.

1 DATED: February 28, 2019

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10 *Attorneys for Defendant John Crane, Inc.*

1 **CERTIFICATE OF SERVICE**

2 I hereby certify that on February 28, 2019, I electronically filed the foregoing with the
3 Clerk of the Court using the CM/ECF system, which will send notification of the filing to all
4 counsel of record who receive CM/ECF notification.

5
6
7 */s/ Gabrielle J. Witherspoon*
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